

**Application for Financial Advisers**

To be eligible for inclusion, submit the completed signed application along with one of the following types of supporting documentation confirming the firm's experience during the preceding two-year period. **1)** an official statement listing the firm's name as Advisor; or **2)** a letter from a government official confirming that the issuer has retained your firm as Financial Advisor. The date and project description should be included.

**Agreement:** We wish to apply for inclusion of the designated office(s) in *The Bond Buyer's Municipal Marketplace®*. **We understand that once our office(s) is qualified, we will be listed in each future edition and in the database. We will be billed according to the current fee schedule for our listings and enhancements (highlights, logos and company profiles) unless we submit cancellation in writing 4 months prior to the release of the next edition. Publication dates are May 15 (Spring edition) and October 15 (Fall edition).**

Description	List Price per number of offices (per issue fee)	Qty	Net Price
Financial Advisers Listing	1st Office @ \$500.00	1	\$ 500.00
Separate Office or Other Office	Each Office @ \$150.00		
<b>Total Listing Fees*</b>			

Enhancements	Per issue Fee:		
Company Profile	\$200.00		
Listing Highlight	\$200.00		
Listing Logo	\$287.50		
Highlighted Cross Reference	\$250.00		
<b>If more than one enhancement is purchased please discount as follows: 2 enhancements = 10%, 3 enhancements = 15%; 4 enhancements = 20%; 5 or more enhancements = 25%</b>			
<b>Total Enhancement Fee*</b>			

Annual Subscription	Annual Fee	Qty	Net Price
The Bond Buyer's Municipal Marketplace	\$550 for one subscription \$495 for 2-5 subscriptions		
<b>Total Subscription Fee</b>			

**Special Red Book Electronic Database Offer:** Target your best prospects by importing our database into your contact, marketing or database program. For a limited-time we'll provide 3000 names for the price of 1600 names. Contact information includes (where provided to us by qualified firms) name, title, department, street, email and web address, branch locations, debt totals (issuers only) and number of issues (issuers only.)

Database Lease	List Price	Special Price	Net Price
3000 names	\$1950	\$1000	\$1000
<b>Total Database Fee</b>			

\*Annual subscription includes two issues of The Bond Buyer's Municipal Marketplace and 12 months access to [www.munimarketplace.com](http://www.munimarketplace.com)

\*\* All quantities and associated prices must be properly filled in this order to be executed by Accuity.

\*\*\*Special listing rates may apply with a full-page ad or larger position. Contact your account manager for information.

**BILLING/PAYMENTS:** All available discounts have been applied to arrive at the agreement total listed above. Applicable taxes and shipping and handling charges are additional. Customer agrees to pay all invoices within 30 days from the date of issuance. Rates are firm for the agreement term. Failure to supply original artwork does not relieve advertiser of its obligations under this contract. Artwork received after the deadline will be charged a 10% late fee. Customer understands that late artwork may not appear in the upcoming edition.

**TERM:** Once agreement is finalized, customer is responsible for 100% of the charge.

**AUTOMATIC RENEWAL FOR LISTINGS and SUBSCRIPTIONS:** Listing and listing enhancements and subscriptions will run continuously until cancelled in the form of written notice provided to Accuity at least one hundred (100) days prior to the directory's publication dates. Publication dates are May 15 (Spring edition) and October 15 (Fall edition.)

**TERMINATION:** Accuity may terminate this agreement upon written notice at any time, in which event Customer will not be obligated for payment of future editions.

**RESERVATION POLICY:** Each guaranteed position will be awarded on a first-come, first-served basis upon our receipt of the signed agreement. All copy and artwork is subject to Publisher's approval.

**GENERAL:** These conditions cover the complete agreement between Customer and Accuity relating to the furnishing of the described services. Any purchase order provided by Customer shall not be binding between the parties. This agreement is subject to Accuity's written acceptance. Accuity reserves the right to republish, and Customer agrees to pay for, last insertion if Accuity does not receive ad copy before deadline dates. Customer may

not assign this Agreement without Accuity's prior written consent. In no event will Accuity be liable for any direct, indirect, consequential, punitive or other damages, even if advised in advance of the possibility of such damages.

By signing below, we understand and acknowledge 1) We have ordered the above at the prices as stated above 2) We agree to Terms and Conditions as stated above and as posted at [www.munimarketplace.com](http://www.munimarketplace.com). 3) Our updates will not be included in future print and online editions unless we sign below:

Signature \_\_\_\_\_ Print Name \_\_\_\_\_ Date \_\_\_\_\_

Title \_\_\_\_\_ Phone \_\_\_\_\_ Email \_\_\_\_\_

Fax to 312-913-0847 pg. 2 of 3

⇔Please sign and submit this application, along with a check made out to Accuity and remit to:  
Accuity, PO BOX 71690, Red Book Group, Chicago, IL, 60680

⇔**Fax a copy of this application to 312-913-0847.** Submit your application **no later than January 31 for Spring edition and July 20 for Fall edition.**

or

**Supply your credit card information and fax this application to 312-913-0847:**

Name on Card \_\_\_\_\_  
Card Number \_\_\_\_\_ Expiration Date \_\_\_\_\_  
Your Signature \_\_\_\_\_ Date \_\_\_\_\_ CVV2 number on back of card \_\_\_\_\_  
*Office use only: RFI # \_\_\_\_\_ Customer # \_\_\_\_\_*

**Listing Information**

Please Indicate:  Main Office  Branch Office Total number of offices to list: \_\_\_\_\_  
*Submit Page 2 & 3 for each separate office*

Full Name of Firm \_\_\_\_\_

Address: \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

Phone: \_\_\_\_\_ Fax: \_\_\_\_\_

Web Address \_\_\_\_\_ Company Email \_\_\_\_\_

Memberships:  TMBA  SIA  NAIPFA  MSRB  NASD  ISDA  SIPC

Securities Clear Through \_\_\_\_\_

DTC# \_\_\_\_\_ NSCC# \_\_\_\_\_ MSTC# \_\_\_\_\_ PHILADEP# \_\_\_\_\_ TAX ID# \_\_\_\_\_

**Practice areas:**

In order to provide The Red Book audience with more detailed information, please mark any of the following municipal bond issue types that your firm has participated in during the past 12 months.

- Education
- Electric Power
- Environmental Facilities
- General Purpose
- Health Care
- Housing
- Industrial Development
- Public Facilities
- Transportation
- Utilities



FIRM NAME: \_\_\_\_\_ LISTING LOCATION (CITY, STATE): \_\_\_\_\_

**Please list personnel of the firm for publication** (or attach a list):

Name \_\_\_\_\_ Title \_\_\_\_\_ Department \_\_\_\_\_

Phone \_\_\_\_\_ Fax \_\_\_\_\_ email \_\_\_\_\_

Name \_\_\_\_\_ Title \_\_\_\_\_ Department \_\_\_\_\_

Phone \_\_\_\_\_ Fax \_\_\_\_\_ email \_\_\_\_\_

Name \_\_\_\_\_ Title \_\_\_\_\_ Department \_\_\_\_\_

Phone \_\_\_\_\_ Fax \_\_\_\_\_ email \_\_\_\_\_

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Name \_\_\_\_\_ Title \_\_\_\_\_ Department \_\_\_\_\_

Phone \_\_\_\_\_ Fax \_\_\_\_\_ email \_\_\_\_\_

Check if you'd like to add a 100-word company profile to your listing for the current fee of \$200.00 per issue and add it here.

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Check here if you'd like your listing to stand out on the page by adding a highlight (box around your firm's name) in the directory and having your name be listed in bold online at [www.munimarketplace.com](http://www.munimarketplace.com). The current fee is \$200.00 per issue.

Check here if you'd like your listing to really stand out on the page by adding your firm's logo in the directory and online at [www.munimarketplace.com](http://www.munimarketplace.com). The current fee is \$287.50 per issue.

**Please list individual responsible for updates:** (update & billing information does not print in listing)

Name \_\_\_\_\_ Title \_\_\_\_\_

Address \_\_\_\_\_ City \_\_\_\_\_ St \_\_\_\_\_ Zip \_\_\_\_\_

Phone \_\_\_\_\_ Fax \_\_\_\_\_ E-Mail \_\_\_\_\_

**Please list individual responsible for future billing:**  Same

Name \_\_\_\_\_ Title \_\_\_\_\_

Address \_\_\_\_\_ City \_\_\_\_\_ St \_\_\_\_\_ Zip \_\_\_\_\_

Phone \_\_\_\_\_ Fax \_\_\_\_\_ E-Mail \_\_\_\_\_