

Application for Financial Advisers

Qualifications & documentation necessary for inclusion: To be eligible for inclusion, submit the completed signed application along with one of the following types of supporting documentation confirming the firm's experience during the preceding two-year period. 1) an official statement listing the firm's name as Adviser; or 2) a letter from a government official confirming that the issuer has retained your firm as Financial Adviser. The date and project description should be included.

Agreement: We wish to apply for inclusion of the designated office(s) in *The Bond Buyer's Municipal Marketplace®*. We understand that once our office(s) is qualified, we will be listed in each future edition. We will be billed according to the current fee schedule for our listings and enhancements (highlights, logos and company profiles) unless we submit cancellation in writing 100 days prior to each edition. Publication dates are May 15th and October 15th.

Description	List Price per number of offices (per issue fee)	Qty	Net Price
Financial Adviser Listing	1st Office @ \$500.00	1	\$ 500.00
Separate Office or Other Office	Each Office @ \$150.00		
Total Listing Fees			

Enhancements	Per Issue Fee:		
Company Profile	\$220.00		
Listing Highlight	\$220.00		
Listing Logo	\$300.00		
Highlighted Cross Reference	\$250.00		
Total Enhancement Fee			

Annual Subscription	Annual Fee	Qty	Net Price
The Bond Buyer's Municipal Marketplace	\$575.00 for one subscription \$525.00 for 2-5 subscriptions		
Total Subscription Fee			

Annual subscription includes two issues of The Bond Buyer's Municipal Marketplace and 12 months access to www.munimarketplace.com.

Special Red Book Electronic Database Offer: Target your best prospects by importing our database into your contact, marketing or database program. For a limited time we'll provide 3000 names for the price of 1600 names. Contact information includes (where provided to us by qualified firms) name, title, department, street, email and web address, branch locations, debt totals (issuers only) and number of issues (issuers only).

Database Lease	List Price	Special Price	Net Price
3000 names	\$1950	\$1000	\$1000
Total Database Fee			

BILLING/PAYMENTS: All available discounts have been applied to arrive at the agreement total listed above. Applicable taxes and shipping and handling charges are additional. Customer agrees to pay all invoices within 30 days from the date of issuance. Rates are firm for the agreement term. Failure to supply original artwork does not relieve advertiser of its obligations under this contract. Artwork received after the deadline will be charged a 10% late fee. Customer understands that late artwork may not appear in the upcoming edition.

TERM: Once agreement is finalized, customer is responsible for 100% of the charge.

AUTOMATIC RENEWAL FOR LISTINGS and SUBSCRIPTIONS: Listing and listing enhancements and subscriptions will run continuously, and will be billed at the then current rate until cancelled in the form of written notice provided to Accuity at least 100 days prior to the directory's publication dates, of May 15 and October 15.

TERMINATION: Accuity may terminate this agreement upon written notice at any time, in which event Customer will not be obligated for payment of future editions.

RESERVATION POLICY: Each guaranteed position will be awarded on a first-come, first-served basis upon our receipt of the signed agreement. All copy and artwork is subject to Publisher's approval.

GENERAL: These conditions cover the complete agreement between Customer and Accuity relating to the furnishing of the described services. Any purchase order provided by Customer shall not be binding between the parties. This agreement is subject to Accuity's written acceptance. Accuity reserves the right to republish, and Customer agrees to pay for, last insertion if Accuity does not receive ad copy before deadline dates. Customer may not assign this Agreement without Accuity's prior written consent. In no event will Accuity be liable for any direct, indirect, consequential, punitive or other damages, even if advised in advance of the possibility of such damages.

By signing below, we understand and acknowledge 1) We have ordered the above at the prices as stated above 2) We agree to Terms and Conditions as stated above and as posted at www.munimarketplace.com. 3) Our updates will not be included in future print and online editions unless we sign below:

Signature _____ Date _____

Print name _____ Title _____ Phone _____

Please sign and submit this application, along with a check made out to Accuity and remit to:

Accuity, PO BOX 71690, Red Book Group, Chicago, IL, 60680

↔ **Fax a copy of this application to 847-676-9616. Submit your application no later than January 31st for Spring edition and July 20th for Fall edition.**

or

Supply your credit card information and fax this application to 847-676-9616:

Name on Card _____

Card Number _____

Expiration Date _____

Your Signature _____

Date _____

CVV2 number on back of card _____

Office use only: RFI # _____

Customer # _____

Please Indicate:

Main Office

Branch Office

Total number of offices to list: _____

Submit Page 2 & 3 for each separate office

Full Name of Firm _____

Address: _____

City _____ State _____ Zip _____

Phone: _____ Fax: _____

Web Address _____ Company Email _____

Memberships: TMBA SIA NAIPFA MSRB NASD ISDA SIPC

Securities Clear Through _____

DTC# _____ NSCC# _____ MSTC# _____ PHILADEP# _____

TAX ID# _____

Practice areas:

In order to provide The Red Book audience with more detailed information, please mark any of the following municipal bond issue types that your firm has participated in during the past 12 months.

- Education
- Electric Power
- Environmental Facilities
- General Purpose
- Health Care
- Housing
- Industrial Development
- Public Facilities

FIRM NAME: _____ **LISTING LOCATION (CITY, STATE):** _____

Please list personnel of the firm for publication (or attach a list):

Name _____ Title _____ Department _____

Phone _____ Fax _____ email _____

Name _____ Title _____ Department _____

Phone _____ Fax _____ email _____

Name _____ Title _____ Department _____

Phone _____ Fax _____ email _____

Name _____ Title _____ Department _____

Phone _____ Fax _____ email _____

Name _____ Title _____ Department _____

Phone _____ Fax _____ email _____

Name _____ Title _____ Department _____

Phone _____ Fax _____ email _____

Name _____ Title _____ Department _____

Phone _____ Fax _____ email _____

Check if you'd like to add a 100-word company profile to your listing for the current fee of \$220.00 per issue and add it here.

Check here if you'd like your listing to stand out on the page by adding a highlight (box around your firm's name) in the directory and having your name be listed in bold online at www.munimarketplace.com. The current fee is \$220.00 per issue.

Check here if you'd like your listing to really stand out on the page by adding your firm's logo in the directory and online at www.munimarketplace.com. The current fee is \$300.00 per issue.

Please list individual responsible for updates: (update & billing information does not print in listing)

Name _____ Title _____

Address _____ City _____ St _____ Zip _____

Phone _____ Fax _____ E-Mail _____

Please list individual responsible for future billing: Same

Name _____ Title _____

Address _____ City _____ St _____ Zip _____

Phone _____ Fax _____ E-Mail _____